

# What can be learned from intermediate filament gene regulation in the mouse embryo<sup>1</sup>

PHILIPPE DUPREY\* and DENISE PAULIN

*Laboratoire de Biologie Moléculaire de la Différenciation, Université Paris VII and Institut Pasteur, Paris, France*

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\*Address for reprints: Laboratoire de Biologie Moléculaire de la Différenciation, UFR de Biochimie, tour 42, Université Paris VII, 2 Place Jussieu, 75005 Paris, France. FAX: 33.1.44277907.

<sup>1</sup>This paper is dedicated to Hubert Condamine.

## Introduction

Embryonic development involves generation of numerous cell types, each of which is characterized by the expression of specific sets of genes. Analysis of the mechanisms controlling cell-specific transcription opens the route towards the identification of regulators' networks. For this purpose, genes encoding intermediate filament proteins (IFs) provide an attractive model system (Franke *et al.*, 1978; Lazarides, 1980, 1982; Geisler and Weber, 1981, 1982, 1983; Osborn and Weber, 1982; Geisler *et al.*, 1983, 1984; Traub, 1985; Weber and Geisler, 1985; Steiner and Roop, 1988; Zehner, 1991; Stewart, 1993).

IFs constitute a multigenic family whose members are expressed in a cell-specific manner. Among IF genes, sequence homologies are concentrated in the coding part, whereas regulatory sequences are considerably more divergent.

According to sequence homologies and exon-intron organization, the different IF genes have been classified into five classes (Table 1). The members of four classes are expressed in the cytoplasm. Lamins, which are found in the nucleus, constitute an independent class (type V) (Georgatos and Blobel, 1987). However a number of additional IF proteins have recently been identified in various vertebrate and invertebrate organisms (Weber *et al.*, 1991; Brunkener and Georgatos, 1992; Hemmati-Brivanlou, 1992; Riemer *et al.*, 1992; Gounari *et al.*, 1993; Liem, 1993; Remington, 1993).

Type I (acidic) and type II (basic) cytokeratins found as heteropolymers in epithelial cells constitute the first two classes. The third class (type III) contains four members with different expression profiles: while desmin is found in muscle, GFAP in astrocytes, and peripherin in some neurons, vimentin is observed in many different cells. The members of the fourth class, (type IV),  $\alpha$ -internexin, nestin and the neurofilament triplet, are all expressed in neural cells. In addition, nestin is also expressed in skeletal muscle precursors. Recently, two other proteins, filensin and tanabin, which share structural organization and sequence homology with intermediate filaments, have been characterized (Brunkener and Georgatos, 1992; Hemmati-Brivanlou, 1992; Gounari *et al.*, 1993; Remington, 1993). Expressed in lens, filensin is associated with phakinin (Merdes *et al.*, 1993) while tanabin can be found in the neuronal growth cones.

### **Sequential expression of intermediate filaments during development**

The first intermediate filaments are detectable in oocytes and are composed of type 5, 6, 8 and 16 cytokeratins (Chisholm and Houlston, 1987; Lehtonen *et al.*, 1987; Gallicano *et al.*, 1994). Low expression of types 8 and 18 cytokeratins can be detected in early cleavage and morula stage mouse embryos (Duprey *et al.*,

1985; Chisholm and Houlston, 1987; Lehtonen *et al.*, 1987). The expression of types 8 and 18 cytokeratins increases at the blastocyst stage, where they are mostly expressed in the trophectoderm cells (Bruet *et al.*, 1980; Jackson *et al.*, 1980; Paulin *et al.*, 1980; Oshima 1982; Duprey *et al.*, 1985). Pairs of the different keratins will be expressed in the various epithelia later during development (Moll *et al.*, 1982; Powell and Rogers, 1990; Fuchs, 1991; Vassar *et al.*, 1991; Coulombe, 1993). Although most epithelial cells express only cytokeratins, transient expression of vimentin has been described in migrating parietal endodermal cells from 8.5 days p.c. mouse embryos (Lane *et al.*, 1983; Lehtonen *et al.*, 1983). In mesenchymal cells the first appearance of vimentin filaments was reported in primitive mesoderm cells at day 8.5 between the ectoderm and proximal endoderm layers (Jackson *et al.*, 1981; Franke *et al.*, 1982).

Vimentin is characterized by a transient expression in some precursor cells during early development. Vimentin is expressed in neural precursors and mesenchymal cells prior to the accumulation of the tissue-specific filaments (Holtzer *et al.*, 1982; Lazarides, 1982; Cochard and Paulin, 1984). In few cases, coexpression of two types of intermediate filament is maintained. This was shown to be the case for some glial cells, vascular smooth muscle cells and in the plexiform layer of the retina (Shaw *et al.*, 1981; Dräger, 1983). In most cases, tissue specific filaments progressively replace vimentin, thus leading to the transient coexistence of several different intermediate filament proteins. Another intermediate filament protein, nestin, is transiently expressed in both myogenic precursors from the somites and neuroepithelial precursors of the central nervous system (Lendahl *et al.*, 1990; Sejersen and Lendahl, 1993; Zimmerman *et al.*, 1994).  $\alpha$ -internexin is found in the neural tube and neural crest-derived neuroblasts before the neurofilament proteins appear (Liem, 1993). Post-mitotic neuroblasts, which are first generated at day 9 p.c., express the neurofilament proteins. A subset of these postmitotic neuronal precursors is characterized by the presence of an additional intermediate filament protein, peripherin. The last filament system to appear in the neural system is GFAP, which is expressed in astrocytes and Schwann cells at the end of gestation (E18). In striking contrast to the diversity of intermediate filaments in the nervous system, only one muscle-specific filament has been found in adult mouse cardiac, skeletal and smooth muscle. In the heart, desmin expression can be initially detected at day 8 p.c. while in the somitic skeletal muscle precursors, it appears shortly before day 9 p.c. On the other hand, transient expression of cytokeratins has been observed in developing human fetal heart (Kuruc and Franke, 1988) and the expression of cytokeratins has been reported in human smooth muscle (Azumi *et al.*, 1988).

It is noteworthy that each structural class of intermediate filaments (see Table 1) corresponds to major expression lineages



such as cytokeratins in epithelia or neurofilaments in neurons, except for type III filaments, vimentin, desmin, GFAP and peripherin, which are expressed in different lineages. Recent reviews on intermediate filament regulation focused on epithelia (Oshima, 1992) or neurons (Liem, 1993) and thus discussed the regulation of highly related genes within a given cell lineage.

Type III IF genes encode highly related proteins, and the organisation of these genes is very similar. Indeed they are encoded by rather small genes (not exceeding 10 kbp) all made of 9 exons and 8 introns. By contrast the control sequences are completely divergent. These similarities and differences reinforce the interest of the use of type III IF as a model to analyze cell-specific gene regulation.

In this review we will focus on the regulation of type III IF gene expression.

### Glial Fibrillary Acidic Protein (GFAP): tissue-specific and dynamic expression pattern

#### **GFAP is the last type III IF sequence to be expressed during development**

Glial Fibrillary Acidic Protein is a 54 kDa (430 aminoacids) type III intermediate filament protein which is the major component of astroglial intermediate filaments (Bignami *et al.*, 1972; Maunoury *et al.*, 1976; Lewis *et al.*, 1984; Tardy *et al.*, 1988). GFAP is expressed in most, but not all, astroglial cells of adult mammals. GFAP expression has been detected in tanycytes (De Vitry *et al.*, 1981), cerebellar golgi epithelial cells, retinal Müller cells, fibrous astrocytes and to a lesser degree, in protoplasmic astrocytes. During embryonic development GFAP is not expressed by radial glial cells, the exclusive astroglial cells of the embryonic central nervous system (CNS), which express vimentin (Dahl *et al.*, 1981). In the mouse, GFAP expression was first detected at day 16 p.c. in the 4th ventricle (Galou, unpublished result) and at day 17 p.c. in the retina (Boloventa *et al.*, 1987). However in the rat immunochemical studies allow us to detect GFAP as early as at day 14 p.c. in the brain and day 16 p.c. in the spinal cord (Noetzel and Agrawal, 1985). Later on, immunocytochemical methods revealed the presence of GFAP in the rat embryo CNS at day 17 p.c. in the dentate gyrus (Rickmann *et al.*, 1987), while other studies described GFAP staining at day 18 p.c. in rat embryo brain and spinal cord (Raju *et al.*, 1981; Valentino *et al.*, 1983).

GFAP expression further increases after birth, concomitantly with the gradual disappearance of vimentin intermediate filaments in most, but not all, astroglial cells (Eng, 1980, 1985; Lewis and Cowan 1985; Tardy *et al.*, 1988; Mokuno *et al.*, 1989; Sarthy *et al.*, 1991). Indeed, it was observed that, while many astroglial cells still co-express GFAP and vimentin in the adult, a subset of these cells does not express GFAP. Expression of GFAP or of a highly similar protein was also detected in some non-astroglial cells such as Schwann cells, peripheral glial cells and perisinusoidal cells from rat liver.

While GFAP expression is mostly detected in cells of neural origin, some extra-neural GFAP expression has been reported. In the mouse, GFAP expression is detected in epithelial cells of the lens. This lens expression of GFAP is only detected in some mouse species. For instance, GFAP expression is detected in *Mus musculus* but not in *Mus spretus* mice (Boyer *et al.*, 1991).

TABLE 1

#### CYTOPLASMIC AND NUCLEAR INTERMEDIATE-FILAMENT TYPES

| Types                | Sub-units  |
|----------------------|--|
| I                    | Acidic Cytokeratins  |
| II                   | Basic Cytokeratins   |
| III                  | Desmin, GFAP, Peripherin, Vimentin   |
| IV                   | Neurofilaments, (L) light, (M) medium, (H) Heavy<br>$\alpha$ -internexin, Nestin |
| V                    | Lamins A,B,C   |
| Other non classified | Filensin   |

#### **Alteration in GFAP synthesis in pathological situations**

In the adult, increased GFAP expression is observed in several CNS pathologies such as Alzheimer's disease (Delacourte, 1990), epilepsy and multiple sclerosis (Eng *et al.*, 1971; Eng, 1980). These pathologies are characterized by astrocytic hyperplasia and hypertrophy correlated with a marked increase in GFAP expression which can also be detected in cells surrounding glioma as well as upon trauma and ageing (Beach *et al.*, 1989). Developmental and pathological expression of GFAP in astroglial cells has led to the hypothesis of the involvement of this protein in some of the functions of these cells which include neurotransmitter processing, control of extracellular channel activity, vascular-brain barrier and immune reactions. However the recent disruption of the mouse gene encoding GFAP through homologous recombination in embryonic stem cells argues against this hypothesis. Indeed mice devoid of GFAP develop normally. No obvious anatomical abnormalities have been observed and the astrocytic response against injury occurs normally when the mutant mice are infected with prions (Gomi *et al.*, 1995).

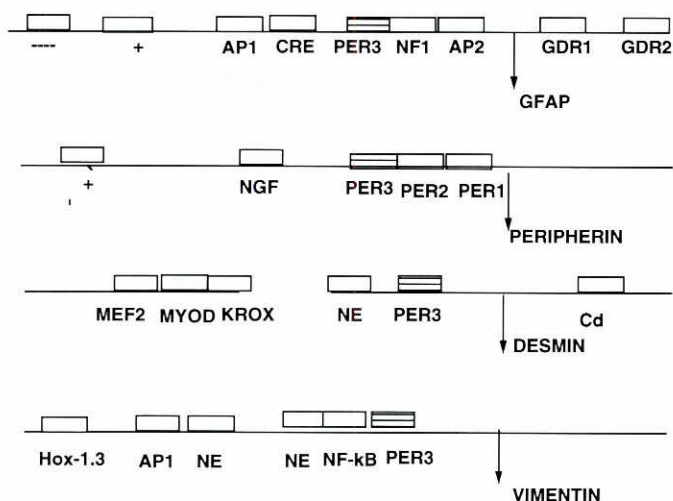
#### **Both 5' upstream and intragenic elements control GFAP gene transcription**

So far, the *GFAP* gene has been isolated and characterized in rat, mouse and human. The human gene was found to be located on chromosome 17, while the mouse gene is located on chromosome 11 (Boyer *et al.*, 1991). Regulatory sequences of the mouse gene have been extensively analyzed both *in vitro* in astroglial cell lines and *in vivo* in transgenic mice.

In the case of the murine *GFAP* gene, whole promoter sequence and transcriptional start sites have been determined (Miura *et al.*, 1990, 1991). In those studies, the luciferase gene was set under the control of various deletion mutants of the murine *GFAP* gene promoter and the resulting luciferase activity was measured in GFAP-positive (rat C6 glioma) and negative (neuroblastoma, and NIH-3T3 fibroblastic) cells. It was observed that sequences required for tissue-specific expression were located within 256 bp from the transcription start point. Using DNase footprinting, three binding sites for transcription factors designed as GFI (-104 to -82), GFII (-124 to -104) and GFIII (-163 to -140) were defined in this region (Fig. 1).

The GFI binding site is homologous to the binding site for the AP2 factor, while GFII and GFIII binding sites correspond to NFI





**Fig. 1. 5' regulatory elements of class III IF genes.** Schematic representation of 5' regulatory elements of IF genes from class III: *GFAP*, *peripherin*, *desmin* and *vimentin*. The names of sequences corresponding to known consensus elements are indicated: *GFI* (AP 2), *GFI* (NF 1), *GFI* (CREB) for *GFAP*, *PER* 1, *PER* 2, *PER* 3 for *peripherin*, *MyoD*, *MEF-2*, *Krox* for *desmin*, *AP1* and *NFKB* for *vimentin*. Note the presence in the *vimentin* upstream region of binding sites for the *Hox* family of homeoproteins which might play a role in the complex developmental control of *vimentin* expression (unpublished data from A. Benazzouz). In the *desmin* intragenic region, *Cd* corresponds to one or several uncharacterized cardiac-specific element(s) (unpublished data from Z.L. Li).

and cyclic-AMP responsive element consensus sequences, respectively. Mutations in *GFI* binding site decreased promoter activity, while base substitution in the *GFI* and *GFI* sites resulted in luciferase expression in *GFAP* negative cell lines in which the wild type promoter is not active. Therefore, it appears that the proximal *GFAP* promoter is composed of both a ubiquitous positive element (*GFI*) and two tissue-specific negative elements (*GFI* and *GFI*). It is noteworthy that no sequence corresponding to the target sequence for a glial-specific transcription factor was observed in this study, raising the possibility that cell-specificity could be due to the combination of more widely expressed factors. It is clear that further work will be necessary to verify whether the *GFI*, II and III factors correspond to new or known members of the corresponding families of transcription factors.

Another study using a similar approach described the occurrence of a putative AP-1 binding site, "TGACTCT", located immediately upstream of the *GFI* site (Sarid, 1991). In this study the interaction of nuclear factors with this sequence was not investigated. However, it was previously shown that this sequence corresponds to an extremely weak AP-1 binding site (Nakabeppu *et al.*, 1988), which raises the question as to whether this site is biologically relevant. On the other hand, the sequence "TGGGGTGAGA", which shows some homology to the binding site for the *PER3* factor involved in the control of the activity of the promoter of the IF gene encoding *peripherin*, is located between nucleotides -142 and -133 (Desmarais *et al.*, 1992). However, no binding to this site was detected. The possibility that binding of the *GFI* factor, whose target sequence overlaps by 2 base pairs the putative *GFAP* *PER3* sequence, prevents binding of the latter factor to the *GFAP* promoter must be considered.

These studies, exploiting promoter-reporter gene fusions, suggested that the sequences required for the cell-specific expression of the murine *GFAP* gene, were located close to the transcriptional start site. However, other investigators, using the entire murine *GFAP* gene found evidence for additional important elements located in the intragenic regions. Using such approach, it was found that an upstream region located between nucleotides -1631 and -1479 is a strong glial-specific positive element, while a negative regulatory element located in the first intron prevents transcription in non-glial cells such as Hela cells (Sarkar and Cowan, 1991). In this study, the glial-specific proximal element observed by Miura *et al.* (1990) was not observed. This might suggest that a negative interaction occurs between intragenic negative regulatory sequences and the previously described proximal cell-specific element. The significance of this potential interaction deserves further experimentation.

Other investigations using transfection methods demonstrated the presence of two cell-specific negative regulatory elements within the related rat *GFAP* gene (Kaneko and Sueoka, 1993). *GDR1*, a 2.7 kb region extending from the first intron to the fifth exon, prevents the activation of a 10.8 kb promoter in non-neural tissue. Addition of *GDR2*, a 1.7 kb region located downstream of the polyadenylation site, further suppresses expression in neuronal cells. These negative regulatory elements function in a position-independent manner, and both of them were found to be required for the proper expression of the *GFAP* gene (Fig. 1).

Analysis of the human *GFAP* gene has led to the discovery of an additional initiator site, located downstream from the transcriptional start site (nucleotides +10 to +40). These sequences are perfectly conserved in the murine *GFAP* gene (Nakatani *et al.*, 1990; Besnard *et al.*, 1991).

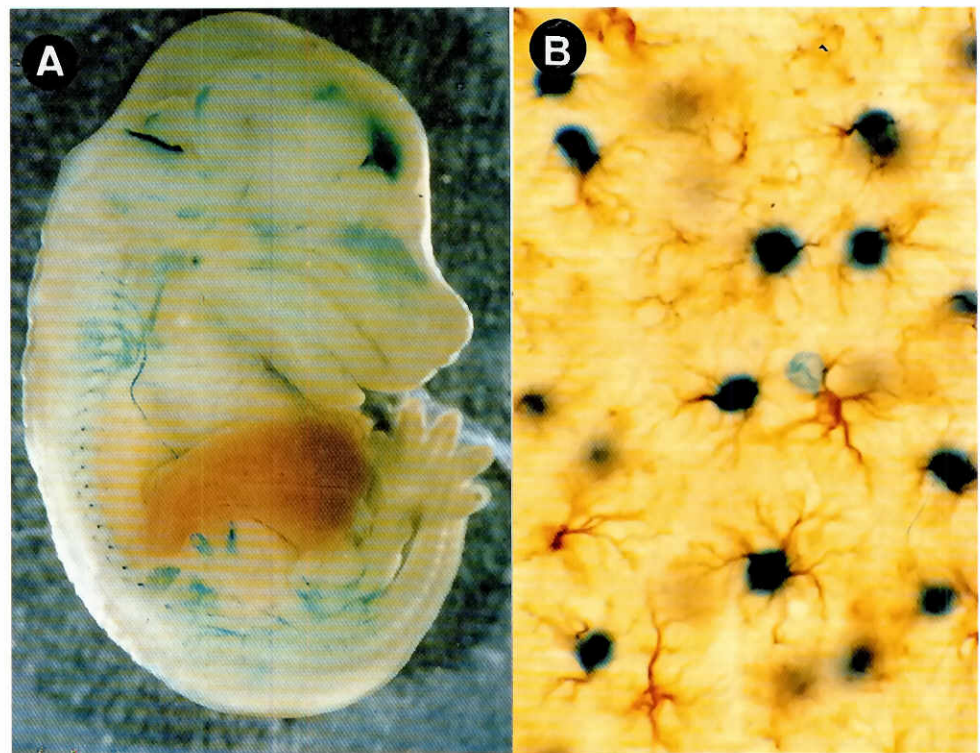
While much attention has been devoted to the tissue-specific expression of the *GFAP* gene, it is well established that *GFAP* expression can also be modulated by hormonal stimuli. Indeed both sex steroids, growth factors and cytokines can affect *GFAP* expression (Laping *et al.*, 1994). Comparative analysis of the 5' upstream sequences of the rat, mouse and human genes has shown the occurrence of putative response elements, which are conserved between the three genes (Laping *et al.*, 1994). However no functional analysis of these possible control sequences has been reported yet.

Altogether, these investigations using cell lines suggest that multiple elements are required for the proper expression of the *GFAP* gene. Indeed, control of *GFAP* gene transcription is conferred by the combination of ubiquitously active positive regulatory elements and tissue-specific negative control regions (Fig. 1). However, the ultimate demonstration of the importance of these multiple regulatory elements requires *in vivo* experiments using a transgenic mouse approach.

#### ***GFAP* transgenes suggest further heterogeneity among astroglial cells**

Two different constructs derived from the murine *GFAP* gene have been used to generate transgenic mice. In a first study, the *lac-Z* gene was inserted in frame with the coding sequence of the *GFAP* gene. The resulting construct contained all introns, 2 kb of 5' upstream sequences, and 2.5 kb of the 3' flanking sequences fused to the *lac-Z* gene. During insertion of the *lac-Z* gene, possible additional murine initiator elements (located within a 50





**Fig. 2. Expression of GFAP-lac-Z in the embryo and in the adult mouse brain.**

Upstream sequences (-1913 to +92) from the murine *GFAP* gene were linked to the *lac-Z* reporter gene and the resulting construct was used to generate transgenic mice. **(A)** Initial expression of GFAP-lac-Z transgene in 16-day p.c. embryo: lateral view. **(B)** Brain section was stained for beta-galactosidase activity (nuclear blue staining), the same section is treated for immunodetection of GFAP revealed by peroxidase-conjugated antibodies (brown color). Note that the transgene is expressed in most but not all GFAP-positive cells, as revealed by the antibody staining. (Unpublished data from P. Dupouey, M. Galou and L. Lossouarn).

bp fragment of the first intron of the *GFAP* gene) were deleted (Mucke *et al.*, 1991). Another construct fused upstream sequences between -1913 and +92 to the *lac-Z* gene. (Galou *et al.*, 1994). In both cases the transgene was expressed in astroglial cells. However a large fraction of astroglial cells expressing endogenous GFAP did not express either transgene, suggesting the occurrence of further heterogeneity among astroglial cells (Fig. 2). On the other hand, expression of both transgenes could be detected in some neuronal cells. Thus it is clear that other mechanisms than those defined using cell lines must be involved in the control of the proper expression of the *GFAP* gene.

Although these transgene expression patterns slightly differ from the endogenous GFAP pattern, it was observed that both transgenes began to be expressed at embryonic day 16 (Fig. 2). In adult mice, induction of experimental gliosis led to an increased expression of the transgenes and to the induction of their expression in previously *lac-Z* negative astroglial cells. Thus these two types of transgenes will be very useful for deciphering the mechanisms involved in the control of the *GFAP* gene during normal ontogeny and in pathological situations.

### Peripherin: an additional neuronal filament

#### *Peripherin is expressed in neurons of different embryological origin*

Peripherin is a 57 kDa (451 aminoacids) type III IF protein whose expression is restricted to a subset of neuronal cells. Its expression was first described in neuroblastoma cells derived from the peripheral nervous system and in PC12 pheochromocytoma cells where expression of peripherin mRNA is enhanced by nerve growth factor (NGF) (Portier *et al.*, 1984; Leonard *et al.*, 1988). In fact, its expression is also observed in neuronal cells not

derived from the peripheral nervous system (Escurat *et al.*, 1990; Troy *et al.*, 1991).

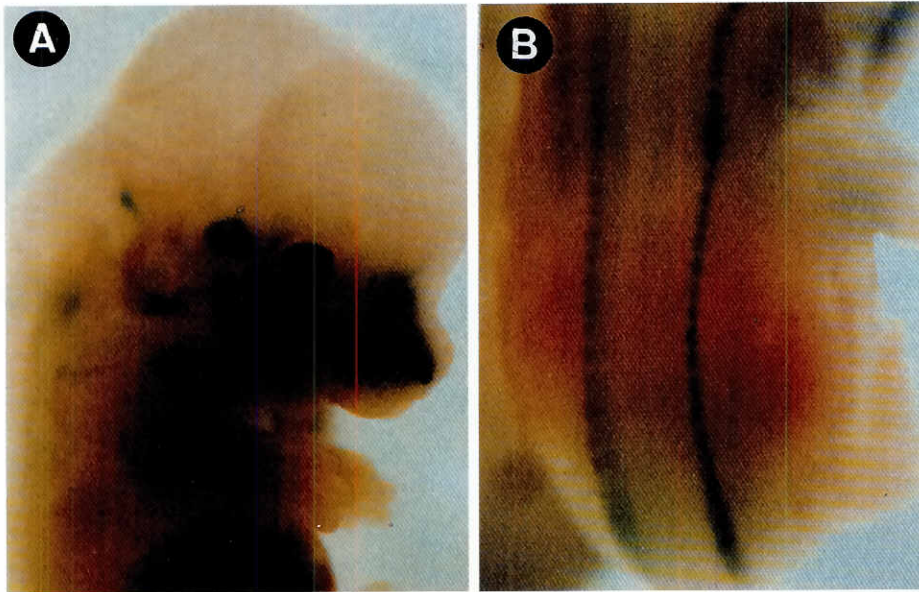
In adult rodents (rat and mouse), peripherin is found in all sensorial neurons, even though these cells are of various embryonic origins (neural crest: spinal ganglial neurons; placodes: acoustic and olfactory neurons; neural tube: retinal ganglial neurons). Peripherin expression is also detected in some neural tube-derived motor neurons in retinal preganglial neurons and in neural crest-derived spinal ganglia and sympathetic neurons. All these neurons have different embryological origins. Peripherin expression in these neurons correlates with the fact that they all extend their neurites outside the brain-spinal cord axis. Additional peripherin-expressing cell types include medullosurrenal cells and pancreatic endocrine cells, which raises the question of the embryonic origin of these cells.

In the mouse, initial expression of peripherin is first detected at day 9 p.c. while rat embryos start to express this protein at day 11 p.c. In both cases initial peripherin expression parallels or immediately follows expression of the 70 kDa neurofilament protein. As the embryo develops, the peripherin expression domain will gradually extend to all neuronal cell types which will express it in the adult. (Escurat *et al.*, 1990; Gorham *et al.*, 1990; Troy *et al.*, 1991).

#### *A tissue-specific repressor controls peripherin gene transcription*

The *peripherin* gene has been cloned in rat and mouse. In the mouse, the peripherin locus is located in the E-F region of chromosome 15, while the human gene has been assigned to chromosome 12 q12-q13 (Moncla *et al.*, 1992). The regulation of *peripherin* gene expression was analyzed mostly in cultured neuroblastoma and PC12 pheochromocytoma cells (Desmarais *et*





**Fig. 3. Initial expression of peripherin-*lac-Z* transgene in 13-day p.c. embryos.** The transgene contains 5.8 kb of peripherin upstream sequences linked to the *lac-Z* reporter gene. (A) Lateral, (B) dorsal view. Note the prominent expression in ganglia. (Unpublished data from J.L. Simonneau).

*al.*, 1992; Thompson *et al.*, 1992). In both rat (PC12) and mouse (N18TG2) peripherin-expressing cell lines, the use of a series of *peripherin* promoter deletion mutant constructs led to the conclusion that the *peripherin* upstream control region contains alternating positive and negative elements. It was observed that only 98 bp of the mouse *peripherin* gene promoter suffice to confer cell specific expression to the reporter *lac-Z* gene, while no activity was observed in negative cell lines, rat and mouse glioma, mouse olfactory bulb and mouse lung carcinoma.

This 98 bp proximal cell-specific region contains three areas, PER1 (TATAAAGCCGCCCGCATCGGTCT), PER2 (CCCCACCCCC) and PER3 (TGGGAGGAGC), which are protected by nuclear factors (Fig. 1) (Desmarais *et al.*, 1992), while the PER2 and PER3 elements interact with widely distributed factors, the PER1 sequence binds factors that are only found in peripherin-expressing cells. The PER1 and PER3 elements are perfectly conserved in rat and mouse, while the PER2 motif is not found in the rat gene. However the corresponding rat sequence is also C-rich. The PER3 element is found in the promoter regions of all type III IF genes and bears homology to the binding sequence for the *E. coli* MalT helix-turn-helix factor (Richet *et al.*, 1991). The PER1 sequence, which includes the TATA box of the gene, is distinct from the known TATA box binding factor consensus sequences and has not been described previously. Furthermore, it was shown that the factor that interacts with the PER1 motif bears no affinity to TA-rich sequences. Therefore, it seems likely that the PER1 factor is not related to any TATA box-binding factors. Mutational analyses were conducted to determine the relative contributions of the three elements to the activity of the *peripherin* promoter. From these studies it appears that PER2 and PER3 are important for determining the level of expression, while PER1 was important for cell type specificity. Therefore, it seems that *peripherin* promoter activity is controlled by the combination of non-specific positive elements containing the PER2 and PER3 motifs and a negative cell-specific element corresponding to the PER1 sequence (Desmarais *et al.*, 1992). In this respect, the mechanisms involved

in the control of the activity of the *peripherin* promoter are very similar to the ones controlling cell-specific expression of the *GFAP* gene.

An additional level of regulation of *peripherin* promoter activity is observed upon addition of NGF to PC12 cells, which leads to further activation of *peripherin* gene transcription (Thompson *et al.*, 1992). This is correlated with an increase in promoter activity due both to the release of a negative control mechanism involving sequences located between nucleotides -111 and -179 and to the activation of an upstream positive regulatory element located between nucleotides -2660 and 2290 (Fig. 1) (Thompson *et al.*, 1992).

#### ***Peripherin upstream and intragenic sequences are required to target neuronal expression in transgenic mice***

While most studies dealing with peripherin regulation have been performed using cell lines, *peripherin* gene-*lac-Z* fusion constructs have been recently used to generate transgenic mice (Fig. 3). Up to 5.8 kb of *peripherin* upstream sequences have been used. Analysis of the transgenic mice, which is in progress, shows expression in peripherin-expressing cell types with some additional ectopic expression sites which vary according to the transgenic mouse line.

These embryos present an expression in the peripheral nervous system (Fig. 3) (trigeminal ganglia and maxillary branches; cranial nerves; dorsal root ganglia; sympathetic chain) but ectopic expression was found in mesoderm (cartilages of limbs) and in the central nervous system. These results suggest that the 5.8 kb upstream region of the mouse *peripherin* gene is not able to drive the expression of *lacZ* gene in a tissue-specific manner. This result is comparable to that obtained by Begemann *et al.* (1990) for promoters of other genes (insulin and neurofilament).

Using another construct with 5.1 kb of additional intragenic sequences added, the spatial and temporal patterns of transgene expression are consistent with the reported developmental regulation of the endogenous *peripherin* gene. In the absence of



intragenic sequences, ectopic expression was obtained. This result illustrates the role of intragenic sequences in the regulation of the *peripherin* gene.

### Desmin: a muscle-specific IF protein

#### *Desmin is expressed in skeletal, cardiac and smooth muscles*

Desmin is a 55 kDa muscle cytoskeletal protein (468 aminoacids) whose gene belongs to the class III of intermediate filaments (Lazarides and Hubbard, 1976; Small and Sobieszek, 1977; Geisler and Weber, 1982).

Desmin is one of the first muscle-specific proteins to be detected in the mammalian embryo (Table 2) since it is expressed before titin, skeletal muscle actin, myosin heavy chains and nebulin (Hill *et al.*, 1986; Fürst *et al.*, 1989; Babai *et al.*, 1990). During mouse embryonic development, desmin has been first detected at 8.25 days p.c. in the ectoderm where it was transiently coexpressed with keratin and vimentin (Schaart *et al.*, 1989). Desmin has been detected in the heart rudiment at 8.5 days p.c. and its expression increased in the myocardial cells during subsequent cardiogenesis (Schaart *et al.*, 1989). From 9 days p.c. onwards, desmin expression in newly formed myotomes follows the somitic maturation rostro-caudal gradient (Table 2), (Mayo *et al.*, 1992). By day 11 p.c., clusters of desmin positive cells can be detected in cephalic muscles. In limb buds, by day 12 p.c., primary fibers display desmin expression (Table 2). Subsequently, the levels of desmin expression in skeletal, cardiac and smooth muscles remain high throughout embryogenesis and in early post-natal life (Li *et al.*, 1993). Finally, both smooth muscles from visceral organs and from blood vessels express desmin (Table 2).

#### *Two independent enhancers control desmin gene expression in skeletal muscle*

Desmin is derived from a fully characterized single copy gene (Quax *et al.*, 1985; Li *et al.*, 1989; Li and Capetanaki, 1993) which has been mapped to band q35 of the long arm of human chromosome 2 (Viegas-Péquignot *et al.*, 1989) and band C3 of mouse chromosome 1 (Li *et al.*, 1990).

The expression of the *desmin* gene differs from that of most other genes sharing the characteristic of being repressed in proliferating undifferentiated myoblasts, and whose expression is activated concomitantly with myoblast fusion. In contrast, desmin expression is initiated in replicating myoblast and accumulates to a high level as muscle cells differentiate (Pieper *et al.*, 1987; Kaufman and Foster, 1988; Li and Paulin, 1991). This pattern is recapitulated in the mouse C2 myogenic cell line, where desmin is expressed at low levels in myoblasts. To date, all the muscle-specific enhancers, such as the rat and mouse muscle *creatine kinase* enhancer (Jaynes *et al.*, 1988; Sternberg *et al.*, 1988; Horlick and Benfield, 1989), rat *myosin light chain (MLC1/3)* enhancer (Donoghue *et al.*, 1988), quail *tropomyosin I* enhancer (Yutzey *et al.*, 1989), chicken and mouse *acetyl choline receptor  $\delta$ -subunit* enhancer (Baldwin and Burden, 1989; Wang *et al.*, 1990) and chicken *acetyl choline receptor  $\alpha$ -subunit* enhancer (Wang *et al.*, 1988) function in differentiated cells but not in their precursors.

In the human *desmin* gene, a positive regulatory element that is important for high-level expression, is located in the region between -973 and -693 bp relative to the start site of transcription

TABLE 2

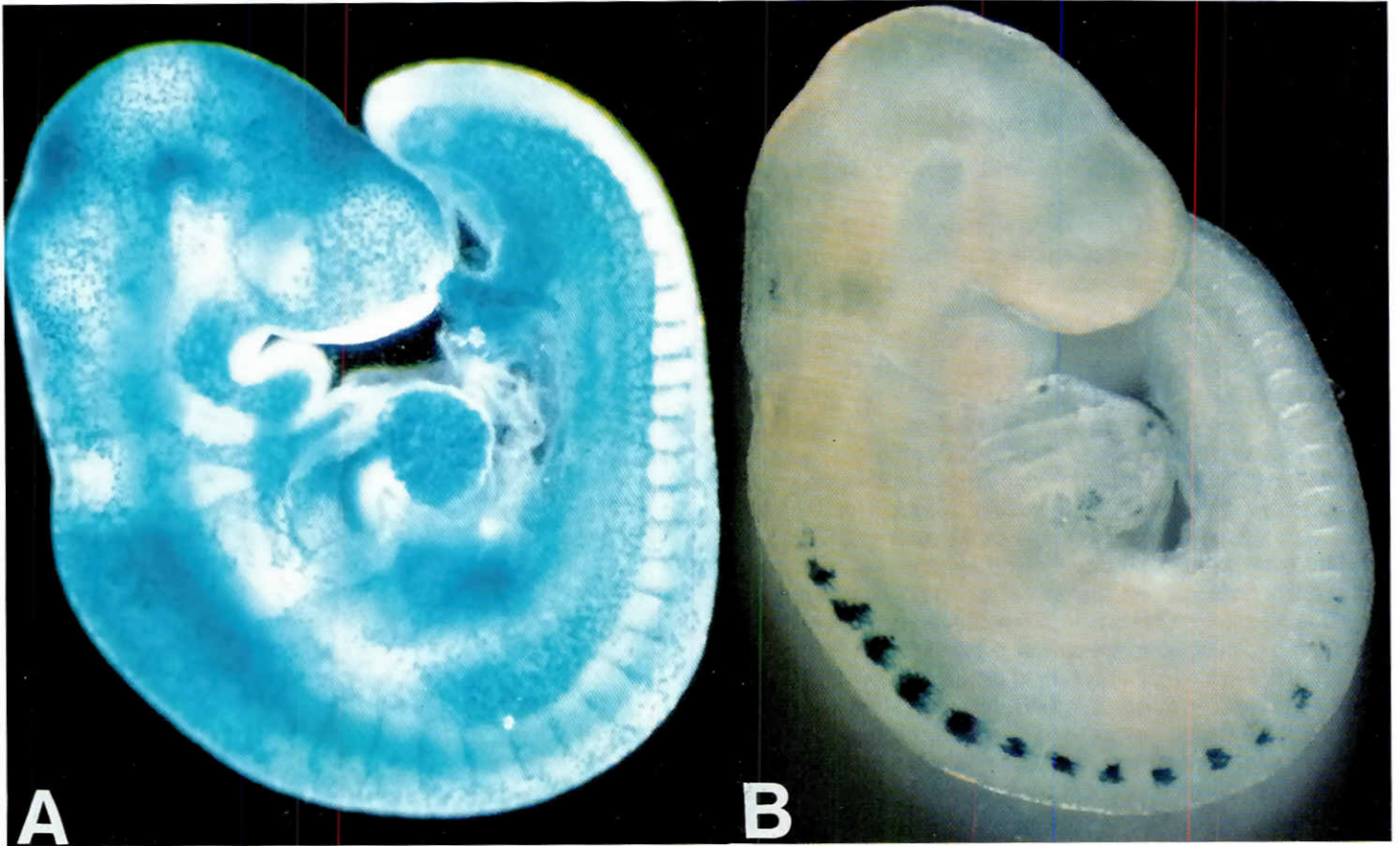
#### INITIAL EXPRESSION OF VIMENTIN AND DESMIN IN 8-14 DAY p.c. EMBRYO DETECTED BY IMMUNOFLUORESCENCE

|                         |                                 |
|-------------------------|---------------------------------|
| <i>Vimentin</i>         |                                 |
| primitive mesoderm      | 6                               |
| notochord               | 8.5                             |
| cephalic mesenchyme     | 8.5                             |
| heart epimyocardia      | 8.5                             |
| blood vessels           | 8.5                             |
| somatopleura            | 8.5                             |
| splanchnopleura         | 8.5                             |
| otic arch               | 9                               |
| neural tube             | 9                               |
| spinal ganglia          | 10.5                            |
| cardiac trabeculae      | 10.5                            |
| intestine mesenchyme    | 10.5                            |
| liver mesenchyme        | 10.5                            |
| kidney mesenchyme       | 10.5                            |
| sympathetic ganglia     | 14                              |
| spinal cord             | 14 ependymal and marginal layer |
| <i>Desmin</i>           |                                 |
| heart                   | 8                               |
| somites                 | 1-14 9                          |
|                         | 15-24 9.5                       |
|                         | 25-64 10                        |
| diaphragm               | 11                              |
| intercostal muscles     | 11                              |
| fore limb               | 10                              |
| hind limb               | 11                              |
| mandibular muscles      | 11                              |
| lingual muscle          | 11                              |
| tongue                  | 11                              |
| palate                  | 11                              |
| vascular smooth muscles | 10                              |

Data from: Gabbiani *et al.*, 1981; Jackson *et al.*, 1981; Franke *et al.*, 1982; Cochard and Paulin, 1984; Fürst *et al.*, 1989; Schaart *et al.*, 1989; Babai *et al.*, 1990; Mayo *et al.*, 1992; Li *et al.*, 1993; and unpublished observations from Z.L.Li, A. Lilenbaum and D. Paulin.

and shows characteristics of a muscle-specific enhancer (Fig. 1). This enhancer can function not only in differentiated myotubes, but also in undifferentiated myoblasts (Li and Paulin, 1993). Downstream of this enhancer, a negative region located between nt -693 and -228 has been found (Fig. 1). Between nt -228 and +75, another positive region containing a classical TATA box and transcriptional initiation sites was defined as the desmin promoter and can confer low level muscle-specific expression to a CAT reporter gene (Fig. 1) (Li and Paulin, 1991). The mouse desmin promoter was recently characterized and found to have a similar functional organization (Li and Capetanaki, 1993). In the case of the human gene, the enhancer was further characterized and found to be composed of two independent enhancer elements (Li and Paulin, 1993). One of these is active only in myotubes, while the activity of the second region has been detected only in myoblasts (Fig. 1). The myotube-specific region contains one MyoD1 site (TTGGCAGCTGTTGC) and one MEF2 site (TCTATAAATACCC). These two sites are necessary for full enhancer activity in myotubes (Fig. 1). MyoD1 is the prototype of a small family of helix-loop-helix (HLH) transcription factors that play a key role in the control of skeletal myogenesis (for reviews see





**Fig. 4. Vimentin (A) and desmin (B) expression in 9-day transgenic embryos: lateral views.** Embryos were stained for beta-galactosidase. The vimentin transgene was generated by insertion of the lac-Z gene inside the endogenous gene from embryonic stem cells in frame with the N-terminal murine vimentin sequences by homologous recombination. The genetically modified embryonic stem cells were then used for the generation of chimeric mice. The desmin-lac-Z transgene contains 1 kb of desmin upstream sequences cloned upstream of the lac-Z gene. **(A)** Vimentin lac-Z transgenes are mostly expressed in the neural tubes and blood vessels, which results in this apparently diffuse distribution (unpublished data from C. Babinet and E. Colluci). **(B)** Expression of lac-Z was found in the somites of desmin transgenic embryos. Note that at this stage, the anterior somites are intensely stained, while the posterior ones are unstained, in agreement with the well-known rostro-caudal somitic differentiation gradient (unpublished data from Z.L. Li).

Weintraub, 1993; Duprey and Lesens, 1994; Olson and Klein, 1994). Myocyte-specific MEF2 factors bind to an A+T rich site that is highly conserved in many muscle genes (Gossett *et al.*, 1989). The myotube-specific enhancer region does not function in myoblasts, and deletion or mutation of the MyoD1 or the MEF2 site does not influence the myoblast-specific enhancer activity of the desmin gene. This correlates with the fact that some myogenic HLH proteins are present in myoblasts but unable to activate muscle-specific gene expression while MEF2 is not expressed in proliferating myoblasts.

As mentioned above, a major difference between the regulation of the *desmin* gene and the regulation of other contractile protein genes is that the desmin enhancer is active in myoblasts. This activity is due to the presence of a 150 bp myoblast-specific enhancer region. Footprinting experiments performed with nuclear extracts from myogenic cells revealed the presence of four protected regions within the 150 bp myoblast-specific fragment. These four regions contain several GC-rich sequences; three of them contain a Krox-like sequence which has 8 bp homology to the 9 bp consensus binding site for Krox factors

(Christy and Nathans, 1989; Lemaire *et al.*, 1990). Two Krox-like sequences, K1 (GTGTGTGGGCGTG) and K3 (GCGGGGGTGGGAG), tested in gel mobility shift assays, are capable of binding the same factors that bind to the Krox site (Fig. 1). Deletion or mutation of any of them reduced CAT activity in myoblasts by at least 50% but did not influence the enhancer activity in myotubes. The Mb sequence (GGGGCAGGAGCCAC) is also a GC-rich sequence (Fig. 1). Although it shares 13 bp out of the 14 bp MyoD1 binding site, the Mb sequence does not interact with factors of the MyoD family. The Mb sequence binds the same (or very closely related) factors as the K1 and K3 sequences. The Krox-family factors containing three zinc-finger motifs, are encoded by immediate-response genes which are induced by diverse signals such as growth factors and mitogens. It is interesting to note that one of the Krox members, Krox 24 (also known as *egr-1*, *Zif268*, *TIS8* or *NGF1-A*), is present in the C2 myoblasts. *Egr-1* has been shown to be present in developing muscles *in vivo*, and seems to participate in the regulation of the rat cardiac  $\alpha$ -myosin heavy chain gene in cardiac muscle cells (McMahon *et al.*, 1990; Gupta *et al.*, 1991).



### **Desmin transgenic mice provide evidence for distinct cardiac, smooth and skeletal muscle gene programs**

Even though cardiac, skeletal and smooth muscles express desmin, it is of considerable interest to define whether desmin expression would be regulated with identical or different *cis*-elements in these three tissues. The use of *in vitro* models to address this question however, has inherent limitations. On the other hand, the transgenic methodology permits the analysis of both temporal and spatial regulation of the transgene (Fig. 4). This was achieved by generating transgenic mice bearing transgenes in which the previously characterized 2.5 or 1 kb 5' regulatory sequences (Li and Paulin, 1991) of the desmin gene were linked to a reporter *lac-Z* gene. Both transgenes were expressed in the committed mononucleate myogenic cells as well as in myotubes (Fig. 4) (Li *et al.*, 1993 and unpublished results). In addition, transgenes were expressed in the mononucleate cells leaving the somites and invading the limb buds, indicating that the cells migrating from the somites are predetermined for myogenesis (Fig. 4).

No expression of the transgenes was observed in cardiac or in smooth muscles. Therefore, these results demonstrate that the upstream regulatory sequences are competent to promote specific expression of desmin in skeletal muscle, and suggest that other sequences are necessary for cardiac and smooth muscle expression.

The activity of the transgenes differs in the adult mice. Whereas the 2.5 kb regulatory sequences are active in fetal and adult skeletal muscles, the activity of the 1 kb proximal regulatory sequences decreases after birth and stops at eleven days post natal. At eleven days the activity of the muscle genes is regulated by the thyroid hormone. It seems that the region -1.3 kb to -2.5 kb includes a hormone responsive element (Li *et al.*, in preparation).

Further experiments have shown that transgene including 2.5 kb of upstream sequences and intragenic sequences spanning the first four exons fused in frame to the *lac-Z* gene is expressed not only in skeletal muscle but also in heart muscle. Thus it seems that some cardiac-specific element(s) within the intragenic sequences are involved in the cardiac-specific expression of the *desmin* gene (Li *et al.*, in preparation).

### **Vimentin: a potentially ubiquitous IF**

#### **Vimentin is the first IF III to be expressed during development**

Vimentin is a 58 KDa (464 aminoacids) cytoskeletal protein, whose expression pattern is unique among type III IFs.

In contrast to that of the other IFs, vimentin expression is not related to a single lineage. Indeed, its expression can be detected in tissues originating from both the ectodermal and the mesodermal layers. A prominent vimentin expression is characteristic of precursor neural and mesenchymal cells from the mouse embryo. In these cells, vimentin expression is complex, due to rapid dynamic variations (Table 2).

Appearance of vimentin filaments is first detected at day 8.5 P.C. in mesoderm cells between the primitive streak and the proximal endoderm (Table 2) (Jackson *et al.*, 1981; Franke *et al.*, 1982). At day 8.5 p.c., vimentin expression is detected in some cephalic mesenchymal cells, in the notochord, the epimyocardium and blood vessels; somatopleura and splanchnopleura are also positive (Table 2) (Paulin, unpublished).

Expression in the neural tube first occurs at the cephalic level at day 9 p.c. At day 10.5 p.c., dorsal root ganglia begin to express vimentin (Table 2). Vimentin can be detected in sympathetic ganglia at day 14 p.c. (Table 2). In neuronal precursor cells, vimentin is progressively replaced by neurofilaments (Cochard and Paulin, 1984). At this stage, all blood vessels contain large amounts of vimentin, whereas no expression can be detected in the somites nor in the sclerotomes. Cartilaginous structures originating from the sclerotomes also lack vimentin, whereas dorsal mesoderm is positive (Table 2). The mesodermal layer from internal organs also expresses vimentin. In limb buds only blood vessels display vimentin IFs (Table 2). Later in the developing nervous system vimentin expression is observed in numerous glial cells. In most astroglial cells, vimentin is replaced by GFAP. However, in a subset of adult astroglial cells, both IFs can be found. Thus, it appears that vimentin is initially widely expressed in the embryo and becomes progressively restricted to fewer cell types. Although vimentin expression cannot be detected in most endodermal derivatives and in many cells derived from the ectoderm and the mesoderm *in vivo*, most vimentin-negative cells re-express this IF proteins upon *in vitro* culture.

#### **Multiple enhancer and silencer elements control the vimentin gene**

The *vimentin* gene has been cloned and extensively characterized in several vertebrate organisms such as human, mouse, hamster and chicken (Ferrari *et al.*, 1986; Sax *et al.*, 1988; Colucci *et al.*, 1994). In human the *vimentin* gene has been allocated to chromosome 10p12 (Ferrari *et al.*, 1987; Mattei *et al.*, 1989; Baumgartner *et al.*, 1991) and in the mouse to chromosome 2A.

*Vimentin* gene belongs to the family of immediate-early genes activated rapidly when cells are stimulated from quiescence to mitosis (Siebert and Fukuda, 1985; Ferrari *et al.*, 1986; Sax *et al.*, 1988; Vicart *et al.*, 1994). Enhancer binding sites as well as negative elements have been characterized in human, hamster and chicken genes (Fig. 1) (Rittling *et al.*, 1989; Sax *et al.*, 1989; Zehner, 1991; Stover and Zehner, 1992; Salvetti *et al.*, 1993). Two enhancers corresponding to AP1/c-jun and to NF-kB are involved in the control of the expression of the *vimentin* gene, and could explain one of the molecular mechanisms through which the *vimentin* gene is a mitogen-inducible gene (Fig. 1) (Rittling *et al.*, 1989; Lilienbaum *et al.*, 1990, 1993). The proximal NF-kB enhancer resides upstream of the promoter (Fig. 1). Further upstream silencer and "desilencer" elements may be important in limiting the expression of the gene. A (distal) enhancer element, which consists of a tandem of AP-1/Jun binding sites (TGAGTCA and TGAATA), is located 5' to the negative regulatory region. (Fig. 1). These two sites are involved in serum and TPA inducibility. The AP-1 sequences interact mostly with heterodimers made by the association of one member of the jun family with the fos factor, or some related gene products, through the leucine-zipper dimerization motif.

Cellular factors, related to the NF-kB family are required for the induction of the *vimentin* gene by the tumor promoter PMA and by the human T-cell leukemia virus type I tax gene product. The NF-KB binding site is 5' GGGGCTTTCC3' in the antisense strand. Deletion of the NF-KB binding site from the human *vimentin* promoter (reduced to 210 nucleotides upstream the transcription



initiation site) abolished transactivation by human T-cell leukemia virus gene product (Lilienbaum *et al.*, 1990; (Lilienbaum and Paulin, 1993).

The *vimentin* promoter displays six A+T rich motifs homologous to the known binding sites for the homeoproteins of the Hox family which are located between nucleotides -1226 and -1549 (Benazzouz *et al.*, in preparation). Gel-shift and footprinting experiments have shown that the Hox-A5 protein, a member of the Hox family of developmental control homeodomain proteins is able to bind specifically to these motifs. Ectopic expression of Hox gene product into embryonal carcinoma cells, which do not express the *Hox* gene products, led to a significant increase of the activity of a *vimentin* promoter deletion mutant containing the Hox binding sites. Such an increase was not observed in cells expressing the endogenous *Hox* genes. This observation raises the possibility that homeodomain proteins play a role in the regulation of the developmental expression of the *vimentin* gene.

#### ***Vimentin transgenesis in mouse recapitulates the complex and dynamic expression pattern***

Constructs derived from the human and mouse *vimentin* genes have been used to generate transgenic mice. A first study used homologous recombination to insert the *lac-Z* gene inside of the endogenous gene in frame with the murine vimentin N-terminal coding sequences (Colucci-Guyon *et al.*, 1994). Analysis of transgenic embryos showed an expression pattern strikingly similar to that of the endogenous *vimentin* gene (Fig. 4).

However, transgenes including up to 4 kb of *vimentin* upstream sequences and 8 kb coding region, previously used for homologous recombination, are not able to drive expression in vessels when integrated outside of the normal site. Thus it seems that these *vimentin* upstream and intragenic regulatory sequences do not carry all the necessary information required for the spatio-temporal expression in the embryo.

The *lac-Z* gene was also placed under the control of 1710 bp of human *vimentin* upstream regulatory sequences. Like the endogenous *vimentin* gene, the transgene was expressed in ganglia and superficial connective tissues (Gabbiani *et al.*, 1981). Differences were found in vessels and neuroectoderm where the transgene was not expressed in contrast to the endogenous *vimentin* gene (Paulin, unpublished results; Lilienbaum *et al.*, in preparation).

Strikingly, transgene containing 830 bp of upstream sequences displayed a very limited expression in the developing embryo (Lilienbaum *et al.*, in preparation). Thus, in contrast to the situation observed *in vitro*, sequences located upstream of the 830 bp deletion mutant play a major role in the control of the developmental expression of the *vimentin* gene. It is not yet known whether the Hox-binding sites located in the upstream regulatory region of the vimentin gene, play a role in the expression of the 1710 bp construct in transgenic embryos. Breeding vimentin-*lac-Z* transgenic mice with mice carrying inactivated alleles of homeobox containing genes obtained through homologous recombination, will be required to answer this question.

Altogether, these data suggest the existence of multiple elements required for the proper expression of the vimentin gene. The elements responsible for the expression in vessels could be located either far upstream, in the 3' portion or even downstream

from the gene. Thus, in spite of its complexity, the 5' upstream vimentin region is not able to reproduce the endogenous vimentin expression pattern in mouse embryos. To address the biological role of vimentin in the context of the living organism, a vimentin null mutation was introduced into the germ line of mice (Colucci-Guyon *et al.*, 1994). Surprisingly, animals homozygous for this mutation developed and reproduced without any obvious phenotype. Immunoblotting and immunofluorescence analysis confirmed the absence of vimentin and of the corresponding filament network. Furthermore, no compensatory expression of another intermediate filament could be demonstrated. It was observed that in a subset of astrocytic cells, which do normally coexpress GFAP and vimentin, no GFAP filaments could be observed (Galou *et al.*, in preparation). While these results leave open the question of the possible role of vimentin in unusual or pathological situations, they show that a conspicuous developmental and cell-specific structure, which is an integral part of the cytoskeleton, can be eliminated without apparent effect on mouse reproduction and development.

#### **Discussion**

In this review, we have focused on the regulation of type III intermediate filament genes, which constitute a subfamily of highly related genes. A very high degree of sequence homology suggests that these four genes have arisen recently through successive duplications of an ancestral gene. Therefore, we would like to suggest that the acquisition of different cell-specific control elements occurred relatively recently. This reinforces the interest of using type III IF genes as tools to analyze cell-specific gene regulation.

#### ***5' upstream sequences play a major role in the regulation of type III IF genes transcription***

It was observed that the 5' upstream sequences of type III IF genes contain key regulatory elements (Fig. 1). While this observation does not exclude the occurrence of other control elements located downstream of the transcriptional initiation sites, as was found in the case of GFAP, desmin and peripherin, it does contrast with the situation observed for other classes of intermediate filaments (see below).

Analysis of the cis and trans-acting factors involved in the control of type III IF gene regulation is likely to provide some key information on the mechanisms involved in the control of cell-specific transcription. This assumption is supported by the data obtained in the case of desmin. Indeed a lot of information has been accumulated on skeletal muscle-specific transcription, which collectively point out to the key involvement of transcription factors belonging to the MyoD and MEF-2 families cooperating in the control of transcription in differentiated myotubes. The situation observed for the *desmin* myotube-specific enhancer was found to perfectly mirror the data obtained for the regulatory elements of other genes specifically expressed in differentiated myotubes.

In contrast, little is known about the mechanisms involved in the control of myoblast-specific gene expression. We would like to suggest that the mechanisms involved in the activity of the *desmin* myoblast-specific enhancer are likely to be similar to those involved in the control of the activity of other myoblast-specific regulatory elements. It is also likely that the mechanisms



controlling *GFAP* gene expression in astrocytes and *peripherin* gene expression in some neurons will also help decipher the control mechanisms involved in gene expression in these cell types, for which little information is currently available.

In the case of desmin, positive elements located in the proximal promoter and in the enhancer region appear to be responsible for cell-specific transcription, while a negative region flanked by these two positive control areas reduces transcriptional activity in an apparently ubiquitous manner.

In the case of GFAP and peripherin, ubiquitously active positive elements have been described. Cell-specific transcription of these two genes was found to be controlled by negative elements counteracting the activity of the positive elements in non-expressing cell types (Fig. 1).

#### **Intragenic sequences play a major role in the regulation of other IF genes**

Expression of the genes encoding for cytokeratins 8 and 18 was found to be controlled by enhancer elements located respectively 3' to the gene (CK8) and in the first intron (CK18) (Oshima *et al.*, 1990; Takemoto *et al.*, 1991). In contrast, the 5' upstream regions exhibited little or no activity. Additional mechanisms such as methylation and insulation have also been shown to be involved in the control of these genes (Oshima *et al.*, 1990; Takemoto *et al.*, 1991; Thorey *et al.*, 1993). In the study by Thorey *et al.* (1993), insulation was found to be partially dependent on the transcription of a 5'-located alu sequence.

A prominent role of intragenic elements was also shown for the type IV nestin gene. Nestin expression in neuroepithelial precursors appears to be controlled by a neural-specific enhancer located in the second intron, while a muscle-specific enhancer located in the first intron is responsible for the expression in somitic myogenic precursors (Zimmerman *et al.*, 1994).

Expression of the genes encoding the medium (140 kDa) and heavy (200 kDa) subunits of neurofilaments is controlled by intragenic cell-specific regulatory elements (Lee *et al.*, 1992; Charron *et al.*, 1993) while an intermediate situation is observed for the light (70 kDa) subunit. Indeed apparently redundant cell-specific regulatory elements in the NF-70 gene appear to be localized both in the 5' upstream region (between nucleotides -1.8 kb and -0.3 kb) and inside the gene (Julien *et al.*, 1987; Beaudet *et al.*, 1992; Ivanov and Brown 1992). It is worth noting that a similar situation was observed for the type III *GFAP* and *peripherin* genes, also expressed in neural tissue.

However, as noted previously, the 5' regions of the *GFAP* and *peripherin* genes play an important regulatory role.

#### **The regulation of vimentin gene expression is unique among IF genes**

Vimentin expression is not restricted to a single cell lineage. The control of its expression appears to require multiple regulatory elements some of which could be located far upstream, in the 3' portion or even downstream of the gene. The *vimentin* promoter, which is not able to confer the complete expression pattern to a reporter gene, is complex and its activity appears to be controlled by a combination of positive and negative elements. These are characterized by some degree of ubiquitous activity which can be further enhanced or lowered depending on the cell state. Therefore it seems that mechanisms controlling vimentin

expression are somewhat intermediate between those controlling neural type III IF genes (*GFAP* and *peripherin*) and the mesodermal muscle-specific *desmin* gene. Interestingly, vimentin expression can be detected in precursor cells from both neural and mesodermal lineages. From an evolutionary point of view, *vimentin* appeared before the other type III IF genes which could be derived from a vimentin-like ancestor (Weber and Geisler, 1985; Weber *et al.*, 1991). While vimentin expression is restricted to some cell types in the animal, it can be reinduced in most cell types upon *in vitro* culture and is thus potentially ubiquitous.

#### **Why several type III IF genes?**

The very high similarity between the various type III IF genes raises the question of the biological significance of the occurrence of four type III IF genes.

Embryonic development is characterized by a transient expression of vimentin in mesodermal and neural precursor cells from the early embryo. Subsequently, vimentin disappears from the cells which start to accumulate other type III IFs (desmin, GFAP, peripherin).

We would like to suggest that the various type III IF genes evolved from an ancestral vimentin gene, whose expression was ubiquitous. The increasing complexity of organisms called for subtle control mechanisms required to trigger the dynamic type III IF expression pattern. A single regulatory region might have become insufficient to ensure the control of such dynamic and complex IF gene transcription. The need for these dynamic variations might have led to gene duplication events therefore allowing type III IF gene expression in precursor cells (vimentin), disappearance of vimentin type III IF from these cells followed by accumulation of other type III IFs (desmin, GFAP, peripherin) in differentiating cells. Such hypothesis leads to the suggestion that type III IF function might become more apparent upon variation of the amount of these proteins in the cell rather than upon presence or absence of these proteins in the cell. Such hypothesis, which contrasts with observations made for cytokeratins (Oshima, 1992), can be tested by inducing a permanent expression of type III IF in the neural or mesodermal lineages. Such experimental strategy, together with targeted inactivation of the genes, might shed light on the still enigmatic function of type III IFs.

#### **Conclusion**

So far, gene disruption experiments, performed in the cases of vimentin and GFAP, have not allowed to assign a precise physiological role for the type III IF gene products whose biological function remains enigmatic. While the possibility remains open that the lack of obvious phenotypes could be ascribed to redundancy between very similar gene products, it is noteworthy that no evidence for compensation by the expression of another type III protein could be found in vimentin and GFAP null embryos.

The very high similarity between the different type III IF proteins further raises the question of the evolutionary significance of the gene duplications which led to the amplification of this small gene subfamily.

Whatever is the rationale for the occurrence of four type III IFs, if any, it is clear that analysis of the mechanisms which control their expression will further contribute to our knowledge on cell-specific transcription.



The combined use of both *in vivo* (transgenic mice) and *in vitro* (cell lines) experiments has already demonstrated that multiple cell-specific elements contribute to the establishment of the complete expression pattern of the different type III IF genes and the occurrence of both upstream and intragenic elements has been established.

Further work will be required to determine how the impact of these multiple cell-specific elements is integrated at the level of a single transcription unit. It is clear that the understanding of the dynamic interactions between multiple scattered regulatory elements will be a major challenge for the deciphering of the molecular basis of cell type specific type III IF gene expression.

## Summary

In recent years, intermediate filaments (IFs) have attracted much interest, largely because their constitutive polypeptide units are specifically expressed in various cell types and thus represent excellent differentiation markers. Data obtained through biochemical studies and molecular cloning have allowed the classification of IFs into five types according to their protein structure. The expression of most IF types is characteristic of a given cell type: cytokeratins (IF types I and II) are produced in epithelia, neurofilaments and  $\alpha$ -internexin (type IV) in neurons and nestin (type IV) in neuroblast and myoblast.

On the other hand the four type III IFs are highly related proteins which are expressed in different cell types. Thus the study of type III IF gene regulation provides an excellent approach towards the analysis of cell-specific transcription. This review focuses on type III IF gene regulation during mouse embryogenesis and describes the latest data obtained through the combination of both *in vitro* (in cell lines) and *in vivo* (in transgenic mice) approaches. It appears that, while intragenic sequences play a major role in the regulation of the expression of the genes encoding other types of IFs, a major contribution to the transcriptional regulation of type III IF genes is brought by 5' upstream sequences. However, recent evidence obtained through the use of transgenic mice indicate that upstream sequences must cooperate with intragenic elements to establish the complex and dynamic expression pattern characteristic of type III IF genes.

The very high similarity between the coding sequences of type III IF genes raises the question of the significance of the occurrence of four members of this class. We propose a model for the amplification of this small gene family based on the increasing complexity of expression patterns in higher organisms. This could have led first to the requirement for a highly sophisticated control region in an ancestral type III IF gene, followed by two successive gene duplications, thus leading to the appearance of four different regulatory regions directing the cell-specific transcription of nearly identical genes in different cell types.

**KEY WORDS:** *intermediate filaments, transcriptional control, mouse embryo, gene duplication.*

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